BEFORE THE BOARD OF OSTEOPATHIC EXAMINERS FOR THE STATE OF ARIZONA

IN THE MATTER OF:

James I. Miller, D.O.;) ORDER FOR ISSUANCE
Applicant for osteopathic)
physician license.)

By mutual agreement and understanding, the Arizona Board of Osteopathic Examiners in Medicine and Surgery ("Board") and James I. Miller, D.O. ("Respondent") agree to the following disposition of this matter.

- 1. Respondent acknowledges that he has read this Stipulation and the attached Findings of Fact, Conclusions of Law and Order; and, he is aware of and understands the content of this document.
- 2. Respondent understands that by entering into this Agreement he voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged in the Stipulated Findings of Fact or to challenge this Agreement and the Order issued.
- 3. Respondent acknowledges his understanding that this Agreement and Order will not become effective until approved by the Board and signed by its Executive Director.
- 4. Respondent further understands that this Agreement and Order, once approved and signed, shall constitute a public record which may be disseminated as a formal action of the Board.

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that, Respondent acknowledges and agrees 5. signing this Agreement, he has accepted all terms and conditions withdraw said acceptance, forth and he may not set notwithstanding the communication of his desire to withdraw his acceptance prior to the Board voting upon its acceptance of the Agreement.

Respondent is aware that any violation of said 6. Order constitutes unprofessional conduct pursuant to A.R.S. § 32-1854(26) and may result in disciplinary action pursuant to A.R.S. § 32-1855.

ACCEPTED this 14 day of DECEMBER

Reviewed and approved as to form by:

Dr. William attoured granutived as

STEPHEN W. MYERS Attorney for Dr. Miller

FINDINGS OF FACT

- The Board of Osteopathic Examiners of the State of Arizona ("Board") is the duly constituted authority for the regulation and control of the practice of osteopathic medicine in the State of Arizona.
- James I. Miller, D.O. (hereinafter, "Respondent") 2. is the applicant for license to practice osteopathic medicine in the State of Arizona.

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The Board has reviewed Respondent's application 3. and conducted interviews with Respondent for license Respondent's prior meetings concerning the Board public professional history as a licensee of the Board and all other relevant issues concerning his qualifications to obtain a Board The Board has arrived at its findings of fact based upon all of the information provided to the Board and made a part of the administrative record.

4. On November 29, 1995, during its public meeting, with Respondent present, the Board authorized preparation of a stipulated order granting Respondent a license to practice as an osteopathic physician in the State of Arizona; but, said license shall be placed on probation and subject to specific restrictions that are set forth more specifically hereafter.

CONCLUSIONS OF LAW

- 1. The Arizona Board of Osteopathic Examiners in Medicine and Surgery possesses jurisdiction over the subject matter hereof and over the application for license submitted by James I. Miller, D.O., pursuant to A.R.S. § 32-1801, et. seq.
- 2. The Board has authority to enter a final order and to enter into an agreement for the stipulated disposition of this matter pursuant to A.R.S. § 32-1822(D).

ORDER

The Board voted to accept the stipulated disposition of this matter on November 29, 1995; and, therefore, IT IS HEREBY ORDERED THAT:

- 1. JAMES I. MILLER, D.O. (Respondent) shall be issued a Board license and placed on probation pursuant conditions described hereafter. Respondent's practice of osteopathic medicine in the State of Arizona shall be restricted also as described more specifically hereafter.
- 2. The duration of probation and restriction on practice shall continue for three (3) years from the effective date of this Order; and, thereafter, Respondent may petition the Board by letter for termination or modification of the terms of probation and restriction of practice.
- 3. Respondent expressly agrees to waive confidentiality concerning all information and reports received or retained by the Health Services Advisory Group, Inc. (or any successor entity or individual); and, therefore it is hereby ordered that all records concerning Respondent in the possession of the Health Services Advisory Group, Inc., ("HSAG"), including medical skill assessments, psychological and medical reports concerning Respondent, shall be made available to the Board upon request by the Board's executive director.
- 4. While on probation, Respondent shall remain in compliance with those conditions imposed by HSAF concerning his medical practice activity and performance requirements.
- 5. All expenses associated with Respondent participating in any medical education and training program, that is required by the Board, shall be his responsibility.

6. Respondent shall, during each of the five (5) calendar years (commencing with the effective date of this Order and including 1994), include the following described activity as part of his annual continuing medical education ("CME") and as a condition of probation.

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- attend and participate shall (A) Respondent programs presented аt educational completely in the Southwestern Surgical Association Annual meeting; and, he shall participate in and complete at least one general surgery review course yearly, such as and comparable to the review course for the Board examinations of the American College of Surgeons at the aforementioned organization's annual meeting; and,
- (B) Respondent shall annually enroll in and complete the American College of Surgeons Surgical Education and Self-Assessment program; and,
- (C) Respondent shall maintain documentary proof compliance with the aforementioned continuing medical of entire period οf the requirements throughout education probation; and, he shall submit copies of documents establishing attendance at CME and a signed statement avowing completion of courses and mailed by certified mail to the Board's office (attention of the executive director) by December 31 of each calendar year.
- 7. If Respondent wants to perform vascular surgery, he shall attend a minimum of twenty (20) hours of CME directly

related to the subject of vascular surgery procedures and diagnosis related thereto prior to January 1, 1995; and,

- the implement shall at all times 8. Respondent following procedures for maintaining complete office and patient records that follow the "SOAP" format, i.e., sections that findings and objective complaints, subjective document assessment that includes a differential diagnosis and a plan for further care or steps in a work-up.
- 9. During the first three years of probation, Respondent will be subject to review of all aspects of his medical practice. Said review shall primarily be in the area of surgical practices and procedures and maintenance of patient records. The Board designates its staff physician, Thomas P. Kalos, D.O., the reviewing physician, who shall undertake this review as the Board's designated representative and in the following manner.
- (A) Review of at least ten (10) patient records selected at the reviewing physician's discretion which shall be conducted every 120 days, commencing on the first of the month after the effective date of this Order. The review of patient records should focus on Respondent's pre-operative assessments, intraoperative dictations and hospital records to assess daily care and order writing. Respondent shall fully cooperate with the reviewing physician and provide copies of patient records and any related information.

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(B) The reviewing physician shall prepare a written report for the Board and a copy to Respondent reflecting any relevant findings or opinions concerning Respondent's medical practice activity and compliance with this Order.

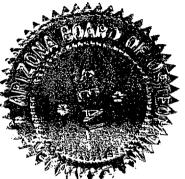
(C) In the event that the reviewing physician's report discloses deficiencies in Respondent's medical knowledge or proficiency, the Board may order, at its discretion and without expressly making any finding of unprofessional conduct, the completion of an additional twenty-five hours of CME per year during the period of probation. This provision shall not limit the Board in taking other disciplinary action in the event that it has cause to invoke its statutory authority.

appear before the Board, to discuss and respond to any questions concerning his medical practice upon receipt of reasonable notice (i.e., a minimum of three business days) requesting his appearance. Respondent's request for a continuance, when supported by good cause, (e.g., attendance at CME seminars and illness) may be granted by the Board.

- 11. Respondent shall, as a condition of probation, fully comply with the statutes and rules governing the practice of osteopathic medicine.
- 12. Failure to comply with the terms of probation and restriction of practice shall be cause for filing a formal Board Complaint and Notice of Hearing pursuant to A.R.S. § 32-1855(F); and, said failure to comply with the Board's terms of probation

and restriction of practice shall constitute conduct by Respondent which does or might constitute a danger to the health, welfare and safety of his patients or the public in violation of A.R.S. § 32-1854(19).

DATED and effective this 14th day of December, 1995.



ARIZONA STATE BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AND SURGERY

Ann Marie Berger Executive Director

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Copy mailed to the Board's Counsel, Michael N. Harrison Assistant Attorney General

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